# Express Mail

EL254112840US

#### LIMBACH & LIMBACH L.L.P. 2001 Ferry Building, San Francisco, CA 94111 415/433-4150

Address to:

JOAN MAZZEO

**Box Patent Application** Commissioner for Patents Washington, D.C. 20231

Attorney's Docket No. TRAF-110

First Named Inventor <u>JUSSI KETONEN</u>

### UTILITY PATENT APPLICATION TRANSMITTAL

( under 37 CFR 1.53(b) )

SIR:

Transmitted herewith for filing is the patent application entitled: AUTONOMOUS LOCAL ASSISTANT FOR MANAGING BUSINESS PROCESSES

#### **CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date October 25, 2000, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number <u>EL254112840US</u> addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

(Na	me d	of person mailing paper) (Signature)				
Enc	lose	d are:				
1.	<u>x</u>	Transmittal Form (two copies required)				
2.	i.	papers required for filing date under CFR § 1.53(b):  27				
3.	Dec	claration or oath				
	a.	X Unsigned (original)				
4.	_	Microfiche Computer Program (Appendix, see 37 CFR 1.96)				
5.	_	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) i Computer Readable Copy ii Paper Copy (identical to computer copy) iii Statement verifying identity of above copies				
AC	COM	IPANYING APPLICATION PARTS				
6.	_X_	An <u>unsigned</u> assignment of the invention to <u>e-traffic!</u> is attached.				
	i.	37 CFR 3.73(b) Statement (when there is an assignee)				
7.	_	Power of Attorney				
8.	_	An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of references.				
9.	_	Preliminary Amendment.				
10.	<u>x</u>	Return Receipt Postcard (MPEP 503 should be specifically itemized)				
11.	_	Other				
		REIGN PRIORITY Priority of application no. filed on in is claimed under 35 USC 119.				

Rev. 10/13/98

The ce	ertified	copy	of	the	priority	application:
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- is filed herewith; or
- has been filed in prior application no. \_ filed on August 25, 2000, or
- will be provided.
- English Translation Document (if applicable)

#### 13. FEE CALCULATION

a. \_\_ Amendment changing number of claims or deleting multiple dependencies is enclosed.

#### **CLAIMS AS FILED**

	Number Filed	Number Extra	Rate	Basic Fee (\$710)	
Total Claims	21 - 20	* 1	x \$18.00	18.00	
Independent Claims	ent 2 - 3 * 0		x \$80.00	0	
Multiple der	pendent claim(s), if	\$270.00	0		

\*If less than zero, enter "O".

Filing Fee Calculation ..... \$

50% Filing Fee Reduction (if applicable) . . . . . . . . \$364.00

- 14. Small Entity Status
  - a. X A small entity statement (unsigned) is enclosed.
  - A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
  - c. is no longer claimed.
- 15. Other Fees

•	61 1 000	
	Recording Assignment [\$40.00]	Ş
_	Other fees	
	Charify	é

Total Fees Enclosed . . . . . . . . . . \$364.00

- 16. Payment of Fees
  - X Check(s) in the amount of \$364.00 enclosed.
  - Charge Account No. 12-1420 in the amount of \$\_\_.
    - A duplicate of this transmittal is attached.
- 17. All correspondence regarding this application should be forwarded to the undersigned attorney:

Michael J. Pollock Limbach & Limbach L.L.P. 2001 Ferry Building San Francisco, CA 94111 Telephone: 415/433-4150 Facsimile: 415/433-8716

- 18. Authorization to Charge Additional Fees
  - The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

October 25, 2000

Attorney Docket No. TRUC-110

By: Michael J. Pollock

Registration No. 29,098

Attorney(s) or Agent(s) of Record

Applicant or Patentee: JUSSI KETONEN ST AL.

Appln. or Patent No.:

Filed or Issued: August 25, 2000



Attorney's Docket No.: TRAF-110

For: AUTONOMOUS LOCAL ASSISTANT FOR MANAGING BUSINESS PROCESSES

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- [] the owner of the small business concern identified below:
- (X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN e-traffic!

ADDRESS OF CONCERN 1101 San Antonio Road, Suite 404, Mountain View, CA 94303

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled <u>AUTONOMOUS LOCAL ASSISTANT FOR MANAGING BUSINESS PROCESSES</u> by inventor(s) <u>JUSSI KETONEN ET AL.</u> described in

- [X] the specification filed herewith with title as listed above.
- [] application no., filed.
- [] patent no., issued.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME	
ADDRESS	
	[ ] Individual [ ] Small Business Concern [ ] Nonprofit Organization
NAME	
ADDRESS	
•	[ ] Individual [ ] Small Business Concern [ ] Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time or paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING					
TITLE OF PERSON OTHER THAN	OWNER				
ADDRESS OF PERSON SIGNING	1101 San Antonio Road,	Suite 404,	Mountain Viev	v, CA 94303	
SIGNATURE:	DATE:				
<del></del>					 